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RPTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

November ///, 2002

aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/905.053 Application Number ISMITTAL Filing Date July 12, 2001 HORM First Named Inventor Hung-Tien Yu orrespondence after initial filing) 2823 Group Art Unit **Examiner Name** Pompey, R. Total Number of Pages in This Submission Attorney Docket Number A5552/T46400 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Two (2) Return receipt postcards Terminal Disclaimer Express Abandonment Request 2) Two (2) references Request for Refund [*] Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Kent J. Tobin Reg No. 39,496 Individual name Signature November 12, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington D.C. 20231 on this date: Typed or printed name Chris Fitting

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PA 3263812 v1

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OFFE TO ANOMITTAL	Complete if Known					
/ FEE KANSMITTAL	Application Number 09/905,053					
OFEE TRANSMITTAL NOV 2 0 200 FGF FY 2002	Filing Date			July 12, 2001		
Patent fees are subject to annual revision	First Named Inventor			Hung-Tien Yu		<u>a</u>
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name			Lee. H		M
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Deposit	139	130	139	130	Non-English specification	\dashv
Account Townsend and Townsend and Crew LLP Name	147	2,520	147	2,520	For filing a request for reexamination	
The Commissioner is authorized to: (check all that apply)	112	920*	112	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below Credit any overpayments	113	1,840*	113	1,840*	Examiner action Requesting publication of SIR after	
Charge any additional fee(s) during the pendency of this application					Examiner action	
Charge fee(s) indicated below, except for the filing fee	115	110	215	55	Extension for reply within first month	
to the above-identified deposit account.	116	400	216	200	Extension for reply within second month	
FEE CALCULATION	117	920	217	460	Extension for reply within third month	
BASIC FILING FEE Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Fee Fee Description	128	1,960	228	980	Extension for reply within fifth month	
Code (\$) Fee Paid	1.9	320	219	1 60	Notice of Appeal	
101 740 201 370 Utility filing fee	120	320	220	160	Filing a brief in support of an appeal	
106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing	
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	138	1.510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive – unavoidable	
	141	1,280	241	640	Petition to revive – unintentional	
SUBTOTAL (1)	142	1,280	242	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	143	460	243	230	Design issue fee	
Fees from	144	620	244	310	Plant issue fee	I
Extra Claims below Fee Paid	122 123	130 50	122	130 50	Petitions to the Commissioner Petitions related to provisional	
Total Claims -** = X	123	50	. 23	00	applications	
Independent = X =	126	180	126	180	Submission of Information Disclosure Stmt)
Multiple X	581	40	581	40	Recording each patent assignment per property (times number of	
Dependent					properties)	
Large Entity Small Entity	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	149	740	249	370	For each additional invention to be	
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	179	740	279	370	examined (37 CFR § 1.129(b)) Request for Continued Examination	
104 280 204 140 Multiple dependent claim, if not paid		000	160	000	(RCE)	
109 84 209 42 ** Reissue independent claims over original patent	1 39	900	169	900	Request for expedited examination of a design application	
110 18 210 9 Reissue claims in excess of 20 and over original patent	Other fe	e (specify	/) 			
SUBTOTAL (2) (5) "or number previously paid, if greater: For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180					
SUBMITTED BY Name (Print/Type) Kent J Tobin Registration No. (Attorn	ev/Agent	30	496		Telephone 650-326-2400	
57		. 1 33.			Date $11/12/\phi 2$	
Signature					11 /1 alya	

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Attorney Docket No.: A5552/T46400 Client Reference No.: AMAT 005552

USA/TCG/PMD/LE



HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hung-Tien Yu et al.

Application No.: 09/905,053

Filed: July 12, 2001

For: SEQUENTIAL DEPOSITION PROCESS FOR GAP FILLING

Examiner: Lee, H.M.

Art Unit: 2823

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

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Hung-Tien Yu et al. Application No.: 09/905,053

Page 2

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kent J. Tobin Reg. No. 39,496

TOWNSEND and TOWNSEND and CREW LLP

Tel: 650-326-2400 Fax: 650-326-2422

KJT:crf

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